

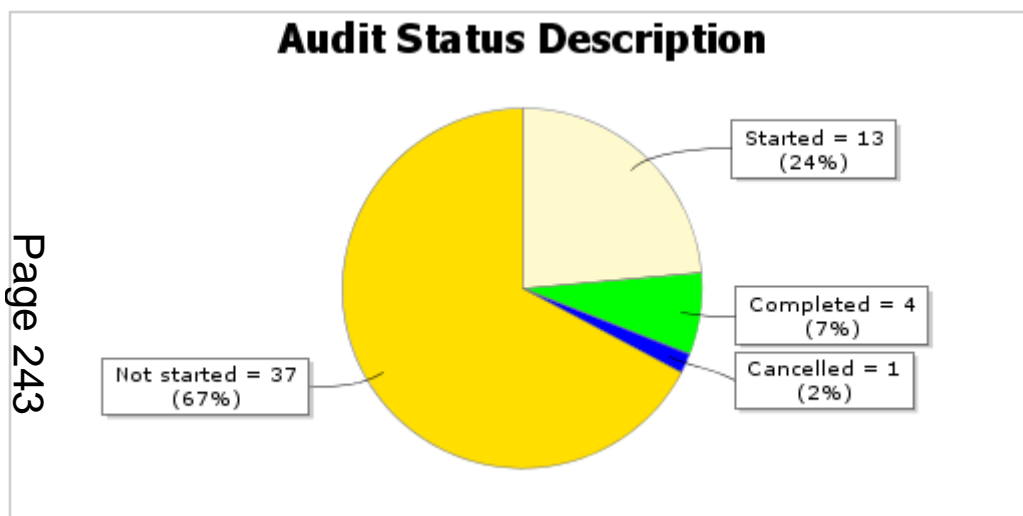
# Internal Audit Performance Report 2018/19 Quarter 1

**Report Type:** Audit File Report



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Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type	Audit Assurance Level Status Icon
Taxi Licences	Assets & Environment	✓	Started	Risk based review	
Joint Service Provision/ SLA's	Corporate	●	Not started	System based review	
Performance Management	Transformation & Corporate Performance	●	Not started	System based review	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
IT Governance	Technology & Corporate Programmes		Not started	Information Technology	
Main Accounting & Budgetary Control	Finance		Not started	Main financial system – interim	
Treasury Management QTR 4 2017/18	Finance		Completed	Main financial system – interim	
Treasury Management QTR 1 2018/19	Finance		Started	Main financial system – interim	
Treasury Management QTR 2 2018/19	Finance		Not started	Main financial system – interim	
Treasury Management QTR 3 2018/19	Finance		Not started	Main financial system – interim	
Creditors & Debtors	Finance		Not started	Main financial system – full	
Debtors	Finance		Not started	Main financial system – interim	
Council Tax	Finance		Not started	Main financial system – interim	
NNDR	Finance		Not started	Main financial system – interim	
Payroll	Transformation & Corporate Performance		Not started	Main financial system – interim	
Bank Reconciliation & Cash Collection	Finance		Not started	Main financial system – interim	
Housing & Council Tax Benefits	Finance		Not started	Main financial system – interim	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Capital Strategy & Programme Management	Finance		Not started	Main financial system – interim	
Housing Rents	Housing & Health		Not started	Main financial system – interim	
Property Contracts QTR 1	Assets & Environment		Completed	Main financial system – interim	
Property Contracts QTR 2	Assets & Environment		Started	Main financial system – interim	
Property Contracts QTR 3	Assets & Environment		Not started	Main financial system – interim	
Property Contracts QTR 4	Assets & Environment		Not started	Main financial system – interim	
Housing Repairs QTR 1	Housing & Health		Completed	Main financial system – interim	
Housing Repairs QTR 2	Housing & Health		Started	Main financial system – interim	
Housing Repairs QTR 3	Housing & Health		Not started	Main financial system – interim	
Housing Repairs QTR 4	Housing & Health		Not started	Main financial system – interim	
Organisation Transformation	Corporate		Not started	System based review	
Health & Safety	Transformation & Corporate Performance		Not started	System based review	
Community Safety	Communities, Planning		Cancelled	Risk based review	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
	& Partnerships				
CCTV	Assets & Environment		Started	Risk based review	
Training & Development	Transformation & Corporate Performance		Not started	Risk based review	
Housing Regeneration & Affordable Housing	Housing & Health		Not started	Risk based review	
Food Safety	Assets & Environment		Not started	Risk based review	
Tourism & Town Centre	Directorate – Growth & Regeneration		Started	System based review	
IT & Communications	Transformation & Corporate Performance		Not started	System based review	
Sheltered Housing	Housing & Health		Not started	Risk based review	
Outdoor Events	Communities, Planning & Partnerships		Not started	Risk based review	
TIC	Transformation & Corporate Performance		Started	System based review	
Safeguarding	Solicitor & Monitoring Officer		Not started	System based review	
Parks & Open Spaces, Nature Reserves & Sports Pitches	Communities, Planning & Partnerships		Started	System based review	
Community Leisure	Communities, Planning & Partnerships		Not started	Risk based review	
Committee Decisions & Reporting	Solicitor & Monitoring Officer		Started	System based review	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Mobile Telephones	Technology & Corporate Programmes		Not started	System based review	
Licences	Assets & Environment		Started	System based review	
Pentana Application Review	Technology & Corporate Programmes		Started	Information Technology	
Academy Application Review	Technology & Corporate Programmes		Not started	Information Technology	
Self Service Technology	Technology & Corporate Programmes		Not started	Information Technology	
GDPR	Technology & Corporate Programmes		Not started	Information Technology	
Cloud	Technology & Corporate Programmes		Not started	Information Technology	
Social Media	Technology & Corporate Programmes		Not started	Information Technology	
I Trent Application Review	Technology & Corporate Programmes		Not started	Information Technology	
Pension Contributions	Transformation & Corporate Performance		Started	Compliance	
DFG	Assets & Environment		Completed	Compliance	
Commercialism	Corporate		Started	Consultancy	
Commercial & Industrial Properties			Not started		

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